

List of Patents and Publications for Applicant's

Atty. Docket No. Si UTSD:681/WIM 09

Serial No. 09/448,330

**Applicants** 

Stephen A. Johnston, Michael A. Barry and Wayne C.

Lai

FEB 2 3 2000

(Use several sheets if necessary)

FORMATION DISCLOSURE STATEMENT

Filing Date: November 23, 1999 Group: 1648

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Foreign Patent Documents

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#### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
W	Al	5,589,466	12/31/96	Feigner, et al.	514		01/26/95
WA	A2	5,451,399	09/19/95	Gimbrone, Jr. et al.	424	85.2	10/19/92
W W W W W W W W W W W W W W W W W W W	A3	5,703,057	12/30/97	Johnston et al.	514	44	04/07/95

### **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
WF	B1	WO 95/05853	03/02/95	PCT			

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Ref. Init. Des.		***************************************		
mf	C1 Ada, "Strategies for Exploiting the Immune System in the Design of Vaccines," <i>Molec. Imm</i> 28(3):225-230, 1991.			
	C2	Ausebel, Ed., In: Short Protocols in Molecular Biology A Compendium of Methods from Current Protocols in Molecular Biology, 2nd Ed., Harvard Medical School, publication date unknown.		
	C3	Barry et al., "Production of Monoclonal Antibodies by Genetic Immunization," BioTechniques, 16(4):616-620, 1994.		
	C4	Coney et al., "Facilitated DNA inoculation induces anti-HIV-1 immunity in vivo," Vaccine, 12(16):1545-1550, 1994.		
	C5	Conry et al., "Immune Response to a Carcinoembryonic Antigen Polynucleotide Vaccine," Cancer Research, 54:1164-1168, 1994.		
	C6	Cox et al., "Bovine Herpesvirus 1: Immune Responses in Mice and Cattle Injected with Plasmid DNA," J. Virol., 67(9):5664-5667, 1993.		
V	C7	Davis et al., "DNA-based immunization induces continuous secretion of hepatitis B surface antigen and high levels of circulating antibody," <i>Human Molecular Genetics</i> , 2:(11)1847-1851, 1993.		

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Date Considered:

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EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (modified)	Atty. Docket No. UTSD:681/WIM	Serial No. 09/448,330	
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## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
W	C8	Eisenbraun et al., "Examination of Parameters Affecting the Elicitation of Humoral Immune Responses by Particle Bombardment-Mediated Genetic Immunization," DNA Cell Biol., 12(9):791-797, 1993.
1	C9	Fynan et al., "DNA vaccines: Protective immunizations by parenteral, mucosal, and gene-gun inoculations," <i>Proc. Natl. Acad. Sci. USA</i> , 90:11478-11482, 1993.
	C10	Kovacsovics-Bankowski <i>et al.</i> , "Efficient major histocompatibility complex class I presentation of exogenous antigen upon phagocytosis by macrophages," <i>Proc. Natl. Acad. Sci. USA</i> , 90:4942-4946, 1993.
	C11	Lai et al., "Protection of mice against experimental murine mycoplasmosis by a Mycoplasma pulmonis immunogen in lysogenized Escherichia coli," Vaccine, 12(4):291-298, 1994.
	C12	Lowrie et al., "Towards a DNA vaccine against tuberculosis," Vaccine, 12(16):1537-1540, 1994.
	C13	Tang et al., "Genetic immunization is a simple method for eliciting an immune response," <i>Nature</i> , 356:152-154, 1992.
	C14	Ulmer <i>et al.</i> , "Heterologous Protection Against Influenza by Injection of DNA Encoding a Viral Protein," <i>Science</i> , 259:1745-1749, 1993.
	C15	Wang et al., "Gene inoculation generates immune responses against human immunodeficiency virus type 1," Proc. Natl. Acad. Sci. USA, 90:4156-4160, 1993.
	C16	Xiang et al., "Vaccination with a Plasmid Vector Carrying the Rabies Virus Glycoprotein Gene Induces Protective Immunity against Rabies Virus," Virology, 199:132-140, 1994.
	C17	Klein, In: Immunology: The Science of Self-Nonself Discrimination, John Wiley & Sons, New York, pp 6-9, 660-661, 1982.
	C18	Stryer, In: Biochemistry, W.H. Freeman and Co., San Francisco, p 732, 1975.
	C19	Soukhanov et al. (Eds.), In: Webster's II. New Riverside University Dictionary, The Houghton Mifflin Co., Boston, MA, p 67, 1984.
	C20	Asubel et al., In: Current Protocols in Molecular Biology, John-Wiley & Sons, New York, pp 6.0.3-6.0.4, 1988.
V	C21	Barry et al., "Protection against mycoplasm infection using expression-library immunization," Nature, 377:632-635, 1995.

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		ent Documents	Other Art	
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# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MA	C22	Crystal, "Transfer of genes to humans: Early lessons and obstacles to success," <i>Science</i> , 270:404-409, 1995.
M	C23	Wang et al., "DNA inoculation induces cross clade anit-HIV-1 responses," Annals of the New York Academy of Sciences, 772:186-197, 1995.
M	C24	Warner et al., "Retroviral vectors for HIV immunotherapy," Annals of the New York Academy of Sciences, 772:105-116, 1995.

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